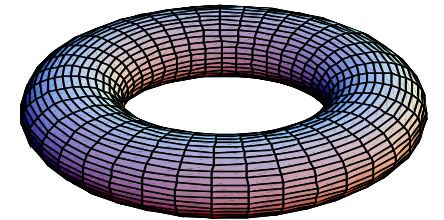


# Controllability

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# Outline

- Affine Systems
- Controllability
  - Controllability Distributions
  - Controllability Rank Condition
- Examples

# Affine Systems

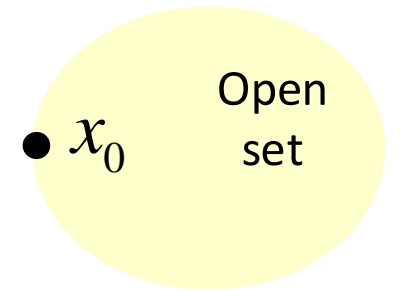
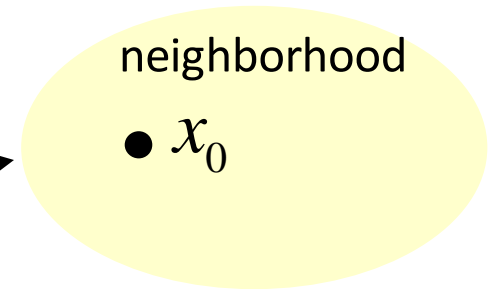
$$\dot{x} = f(x) + G(x)u = f(x) + \sum_{i=1}^m g_i(x)u_i$$

$$y = h(x)$$

$$x \in R^n, y \in R^p, u \in R^m$$

# Controllability

- $x_f$  is  $U$ -reachable from  $x_0$  if given a neighborhood  $U$  of  $x_0$  containing  $x_f$ , there exists  $t_f > 0$  and  $u(t)$  on  $[0, t_f]$  such that  $x_0$  goes to  $x_f$  along a trajectory contained entirely in  $U$ .
- The system is locally reachable from  $x_0$  if for each neighborhood  $U$  of  $x_0$  the set of states  $U$ -reachable from  $x_0$  contains a neighborhood of  $x_0$ . If the reachable set contains merely an open set the system is locally weakly reachable from  $x_0$ .
- The system is locally (weakly) controllable if it is locally (weakly) reachable from every initial state.



R. Hermann and A. J. Krener, "Nonlinear Controllability and Observability," *IEEE Transactions on Automatic Control*, vol. 22, pp. 728-740, 1977

# Controllability Distributions

$$\Delta_C = \left\langle f, g_1, \dots, g_m \mid \text{span} \{ f, g_1, \dots, g_m \} \right\rangle$$

$$\Delta_{C_o} = \left\langle f, g_1, \dots, g_m \mid \text{span} \{ g_1, \dots, g_m \} \right\rangle$$

$\Delta_C, \Delta_{C_o}$  satisfy

–  $\Delta_{C_o} + \text{span} \{ f \} \subseteq \Delta_C$

–  $x$  a regular point of  $\Delta_{C_o} + \text{span} \{ f \} \Rightarrow \Delta_{C_o}(x) + \text{span} \{ f(x) \} = \Delta_C(x)$

– if  $\Delta_{C_o}$  and  $\Delta_{C_o} + \text{span} \{ f \}$  are of constant dim, then

$$\dim \Delta_C - \dim \Delta_{C_o} \leq 1$$

# Controllability Rank Condition

## Proposition:

A necessary and sufficient condition for the system to be locally weakly controllable is

$$\dim \Delta_C (x_0) = n, \forall x_0 \in R^n$$

A necessary and sufficient condition for the system to be locally controllable is

$$\dim \Delta_{C_0} (x_0) = n, \forall x_0 \in R^n$$

# Example: Linear System Controllability

$$\dot{x} = Ax + Bu, x \in R^n, u \in R^m$$

$$f(x) = Ax, g_i(x) = b_i, i = 1, \dots, m$$

$$[Ax, b_i] = \frac{\partial b_i}{\partial x} Ax - \frac{\partial Ax}{\partial x} b_i = Ab_i$$

$$[b_i, b_j] = 0, [Ax, Ax] = 0$$

# Example: Linear System Continued

$$\Delta_0 = \text{span} \{ B \}$$

$$\Delta_1 = \text{span} \{ B \quad AB \}$$

$\vdots$

$$\Delta_k = \text{span} \{ B \quad AB \quad \dots \quad A^{k-1} B \}$$

$$CH - Thm \Rightarrow \Delta_{C_0} = \text{span} \{ B \quad AB \quad \dots \quad A^{n-1} B \}$$

$$\Delta_0 = \text{span} \{ Ax, B \}$$

$\vdots$

$$\Delta_C = \text{span} \{ Ax, B, AB, \dots, A^{n-1} B \}$$

$$\Delta_0 = \Delta$$

$$\Delta_k = \Delta_{k-1} + \sum_{i=1}^q [\tau_i, \Delta_{k-1}]$$

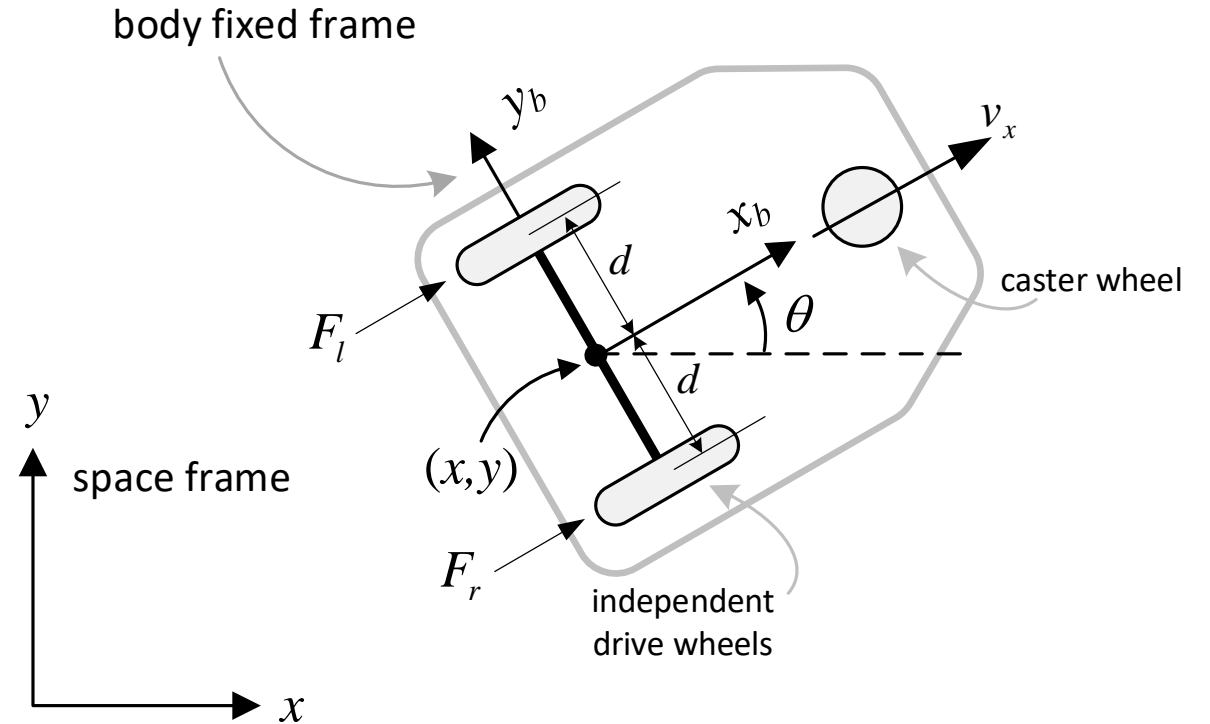
stop when  $\Delta_k = \Delta_{k-1}$



# Example: Wheeled Robot

$$\frac{d}{dt} \begin{bmatrix} x \\ y \\ \theta \end{bmatrix} = \begin{bmatrix} \cos \theta & 0 \\ \sin \theta & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} v_x \\ \omega \end{bmatrix}$$

drive  $\nearrow$  rotate

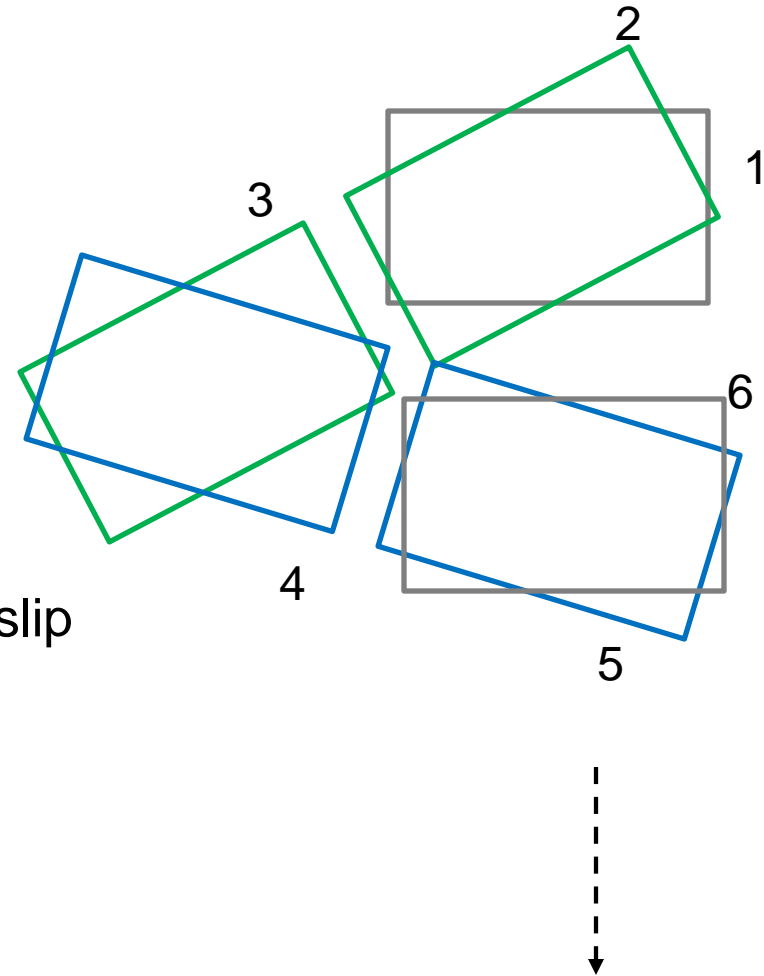


# Wheeled Robot 3

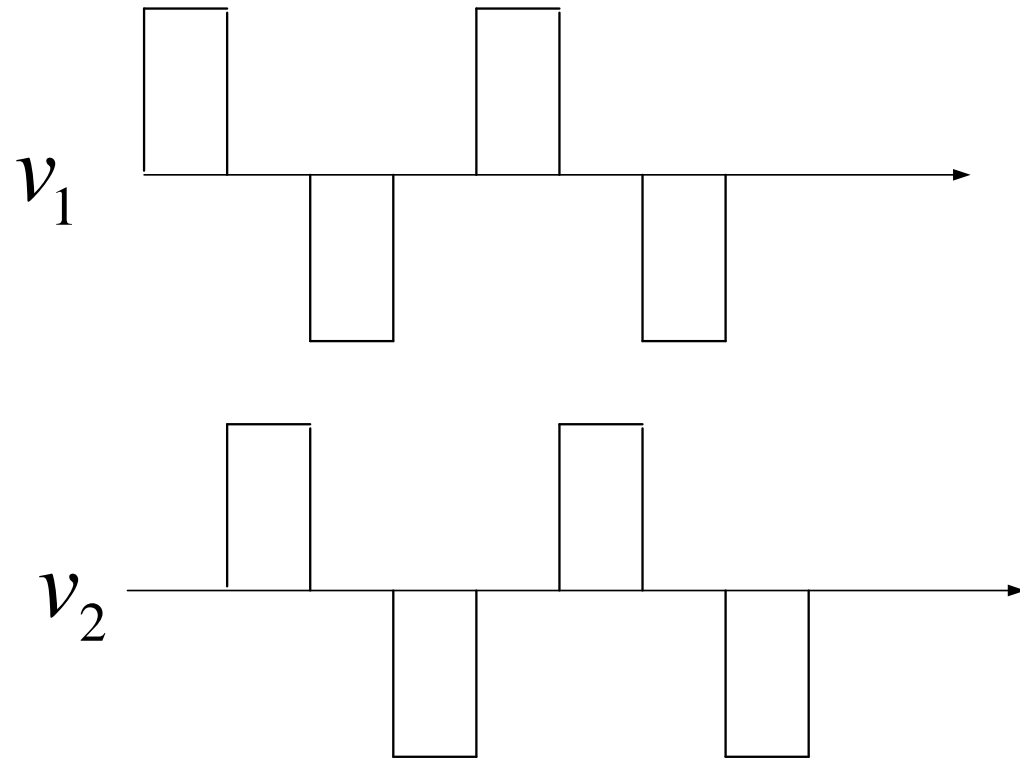
$$\Delta_0 = \text{span} \{ \text{drive}, \text{rotate} \} = \left\{ \begin{bmatrix} 1 \\ \tan \theta \\ \theta \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right\}$$

$$\left[ \begin{bmatrix} 1 \\ \tan \theta \\ \theta \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \end{bmatrix} \right] = \begin{bmatrix} 0 \\ \sec^2 \theta \\ 0 \end{bmatrix}$$

$$\text{span} \left\{ \text{drive}, \text{rotate}, \begin{bmatrix} 0 \\ \sec^2 \theta \\ 0 \end{bmatrix} \right\} = I_3$$



# Implementing Lie Bracket

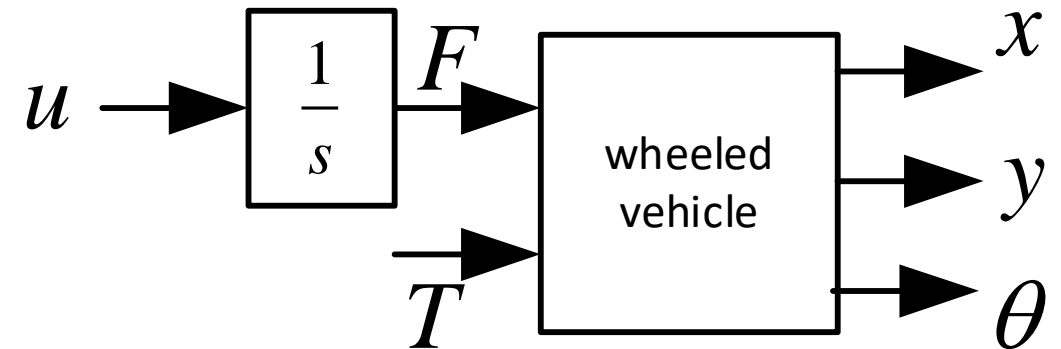


Add

# Example: Extended Wheeled Robot

The extended system includes dynamics and  $z = F, \dot{z} = u$  :

$$\frac{d}{dt} \begin{bmatrix} \theta \\ x \\ y \\ v_x \\ \omega \\ z \end{bmatrix} = \begin{bmatrix} \omega \\ v_x \cos \theta \\ v_x \sin \theta \\ z \\ 0 \\ 0 \end{bmatrix} + \begin{bmatrix} 0 & 0 \\ 0 & 0 \\ 0 & 0 \\ 0 & 1 \\ 1 & 0 \end{bmatrix} \begin{bmatrix} u \\ T \end{bmatrix}$$



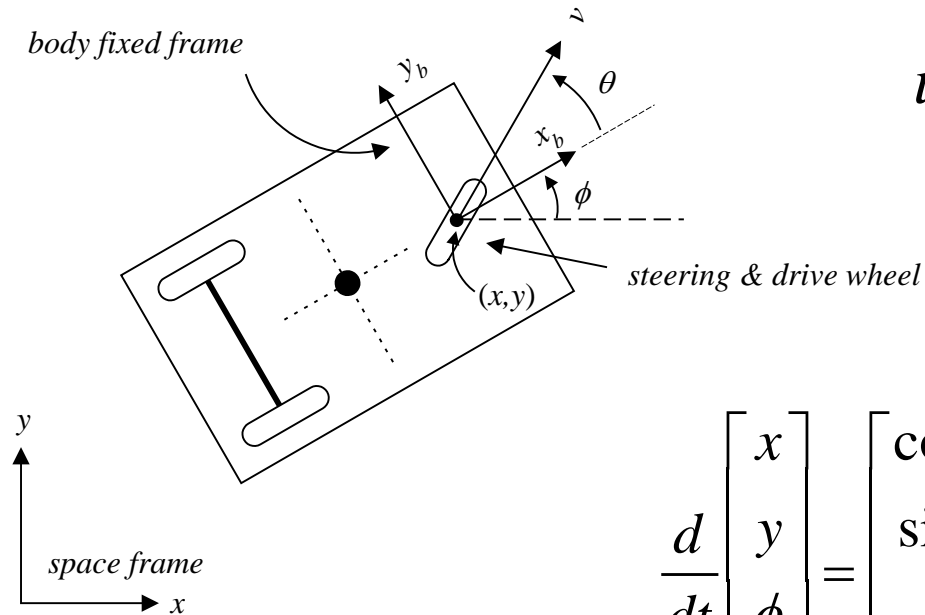
# Example: Extended Wheeled Robot - 2

$$\Delta_{C_0} = \text{span} \left\{ \begin{bmatrix} 1 \\ 0 \\ \vdots \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ \vdots \\ 0 \end{bmatrix}, \dots, \begin{bmatrix} 0 \\ 0 \\ \vdots \\ 1 \end{bmatrix} \right\}, \text{rank } 6 \Rightarrow \text{controllable at generic state}$$

$$\Delta_{C_0}|_{x=0} = \text{span} \left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ \tan \theta \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}, \text{rank } 5 \Rightarrow \text{uncontrollable at } x = 0$$

Actually, this is true not only at the origin, but at any point with  $v_x$  and  $\omega$  both zero. For generic points with only  $v_x = 0$ , the system is controllable.

# Example: Parking



$$u_1 = v, u_2 = \omega$$

$$\frac{d}{dt} \begin{bmatrix} x \\ y \\ \phi \\ \theta \end{bmatrix} = \begin{bmatrix} \cos(\phi + \theta) & 0 \\ \sin(\phi + \theta) & 0 \\ \sin \theta & 0 \\ 0 & 1 \end{bmatrix} \begin{bmatrix} u_1 \\ u_2 \end{bmatrix}$$

drive

steer

# Parking, Continued

$$\Delta_C = \left\langle f, g_1, \dots, g_m \mid \text{span} \{ f, g_1, \dots, g_m \} \right\rangle$$

$$\Delta_{C_o} = \left\langle f, g_1, \dots, g_m \mid \text{span} \{ g_1, \dots, g_m \} \right\rangle$$

$$\Delta_C = \Delta_{C_o} = \left\langle \left[ \begin{array}{c} \cos(\phi + \theta) \\ \sin(\phi + \theta) \\ \sin(\theta) \\ 0 \end{array} \right], \left[ \begin{array}{c} 0 \\ 0 \\ 0 \\ 1 \end{array} \right] \mid \text{span} \left\{ \left[ \begin{array}{c} \cos(\phi + \theta) \\ \sin(\phi + \theta) \\ \sin(\theta) \\ 0 \end{array} \right], \left[ \begin{array}{c} 0 \\ 0 \\ 0 \\ 1 \end{array} \right] \right\} \right\rangle$$

# Example: Parking, new directions from Lie bracket

$$\textit>wriggle} = [\textit>steer}, \textit>drive}] = \begin{bmatrix} -\sin(\theta + \phi) \\ \cos(\theta + \phi) \\ \cos \theta \\ 0 \end{bmatrix}$$

$$\textit>slide} = [\textit>wriggle}, \textit>drive}] = \begin{bmatrix} -\sin \phi \\ \cos \phi \\ 0 \\ 0 \end{bmatrix}$$



# Parking, Continued

$$\text{span} \left\{ \begin{bmatrix} \cos(\phi + \theta) \\ \sin(\phi + \theta) \\ \sin(\theta) \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix}, \begin{bmatrix} -\sin(\theta + \phi) \\ \cos(\theta + \phi) \\ \cos \theta \\ 0 \end{bmatrix}, \begin{bmatrix} -\sin \phi \\ \cos \phi \\ 0 \\ 0 \end{bmatrix} \right\}$$

$$\left\{ \begin{bmatrix} 1 \\ 0 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 1 \\ 0 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 1 \\ 0 \end{bmatrix}, \begin{bmatrix} 0 \\ 0 \\ 0 \\ 1 \end{bmatrix} \right\}$$

# Recall Lie Bracket Interpretation as Commutator of Flows

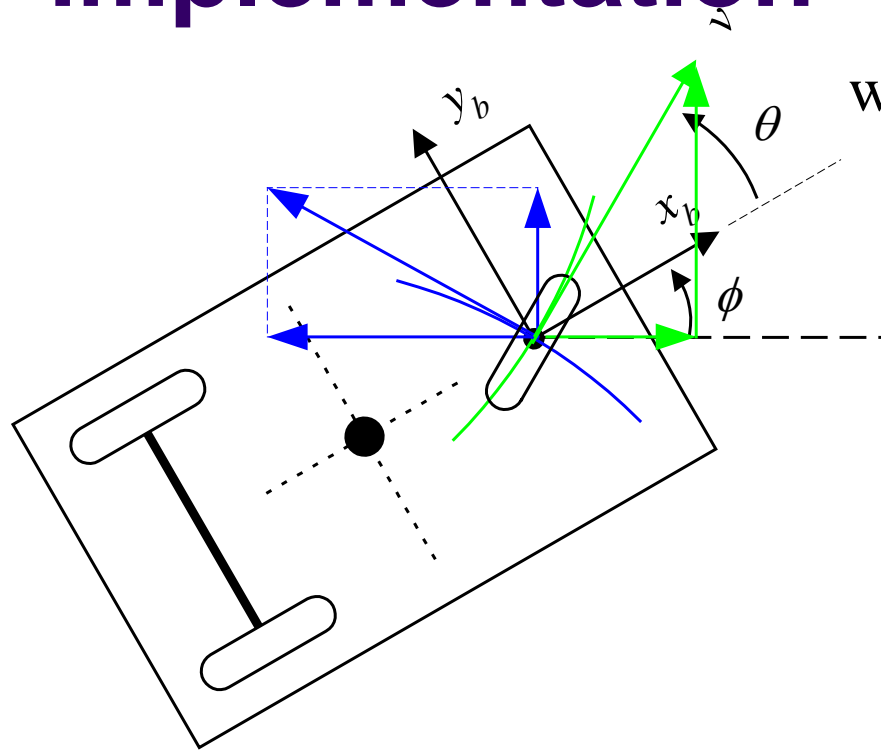
Let us consider the Lie bracket as a commutator of flows. Beginning at point  $x$  in  $M$  follow the flow generated by  $v$  for an infinitesimal time which we take as  $\sqrt{\varepsilon}$  for convenience. This takes us to point

$$y = \exp(\sqrt{\varepsilon} \mathbf{v})x$$

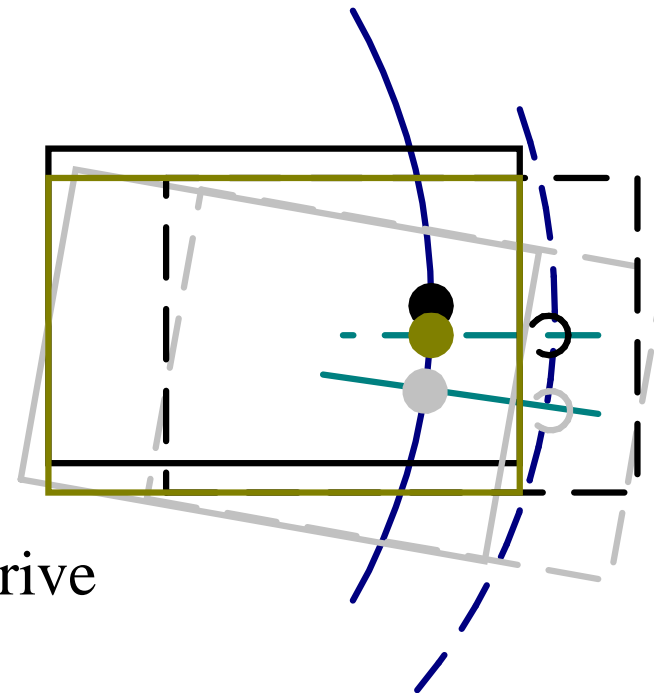
Then follow  $\mathbf{w}$  for the same length of time, then  $-\mathbf{v}$ , then  $-\mathbf{w}$ . This brings us to a point  $\psi$  given by

$$\psi(\varepsilon, x) = e^{-\sqrt{\varepsilon}\mathbf{w}} e^{-\sqrt{\varepsilon}\mathbf{v}} e^{\sqrt{\varepsilon}\mathbf{w}} e^{\sqrt{\varepsilon}\mathbf{v}} x$$

# Example: Parking, implementation



wriggle=steer+drive-steer-drive



slide=wriggle+drive-wriggle-drive

# More Controllability Distributions

$$\Delta_L = \text{span} \left\{ f, ad_f^k g_i, 1 \leq i \leq m, 0 \leq k \leq n-1 \right\}$$

$$\Delta_{L_0} = \text{span} \left\{ ad_f^k g_i, 1 \leq i \leq m, 0 \leq k \leq n-1 \right\}$$

$$ad_v^0(w) = w, ad_v^k = \left[ v, ad_v^{k-1}(w) \right]$$

What is missing in these earlier attempts to obtain a controllability determination is any Lie Brackets between fields  $g_i, g_j$ . They are sufficient but not necessary conditions for controllability.

$$\text{weak local controllability} \iff \Delta_C = n \iff \Delta_L = n$$

$$\uparrow \qquad \qquad \uparrow \qquad \qquad \uparrow$$

$$\text{local controllability} \iff \Delta_{C_0} = n \iff \Delta_{L_0} = n$$

# Example: Linear Systems Revisited

$$f(x) = Ax, G(x) = B$$

$$\Delta_C = \Delta_L = \text{span} \{ Ax, B, AB, \dots, A^{n-1}B \}$$

$$\Delta_{C_0} = \Delta_{L_0} = \text{span} \{ B, AB, \dots, A^{n-1}B \}$$

# Controllability Hierarchy

$$\Delta_C = \langle f, g_1, \dots, g_m \mid \text{span}\{f, g_1, \dots, g_m\} \rangle$$

$$\Delta_{C_0} = \langle f, g_1, \dots, g_m \mid \text{span}\{g_1, \dots, g_m\} \rangle$$

$$\Delta_L = \text{span}\{f, ad_f^k g_i, 1 \leq i \leq m, 0 \leq k \leq n-1\}$$

$$\Delta_{L_0} = \text{span}\{ad_f^k g_i, 1 \leq i \leq m, 0 \leq k \leq n-1\}$$

